



U.S. DEPARTMENT OF ENERGY

# CHP Technical Assistance Partnerships

---

NORTHWEST

## **An Overview of Industrial Waste Heat Recovery Technologies for Moderate Temperatures Less Than 1000°F**

*October 2013*

**Prepared by:**

Carolyn J. Roos, Ph.D.  
WSU Extension Energy Program

*P.O. Box 43165 • Olympia, WA 98504-3165  
(360) 956-2004 • Fax (360) 236-2004 • TDD (360) 956-2218*

*WSUEEP09-26 Rev. 1 October 2013*

***Cooperating agencies: Washington State University Extension Energy Program, U.S. Department of Energy, Alaska Energy Authority, Idaho Office of Energy Resources, Montana Department of Environmental Quality Energy Program, and Oregon Department of Energy***

## **About the Author**

Carolyn Roos, Ph.D., is a mechanical engineer with experience in building systems energy efficiency, mechanical design in hydroelectric facilities, and solar thermal applications. With the Washington State University Extension Energy Program, she provides technical assistance to commercial and industrial clients on energy system efficiency topics. She provides technical support to the Northwest CHP Technical Assistance Partnerships with a focus on CHP assessments and biopower applications. Carolyn can be contacted by email at [roosc@energy.wsu.edu](mailto:roosc@energy.wsu.edu).

## **Acknowledgements**

This study was funded by the Northwest CHP Technical Assistance Partnerships with support funding from the U.S. Department of Energy's Advanced Manufacturing Office and from the State of Washington.

## **Disclaimer**

While the information included in this guide may be used to begin a preliminary analysis, a professional engineer and other professionals with experience in waste heat recovery should be consulted for the design of a particular project.

Neither the Northwest CHP Technical Assistance Partnerships nor its cooperating agencies, nor any of their employees, makes any warranty, express or implied, or assumes any legal liability or responsibility for the accuracy, completeness or usefulness of any information, apparatus, product, or process disclosed, or represents that its use would not infringe privately owned rights. Reference herein to any specific commercial product, process, or service by trade name, trademark, manufacturer, or otherwise does not necessarily constitute or imply its endorsement, recommendation, or favoring by the Northwest CHP Technical Assistance Partnerships or its cooperating agencies.

## Introduction

Many industrial processes require large quantities of thermal energy, much of which is eventually exhausted to the environment, either to the atmosphere or water. Recovering this waste heat represents the largest opportunity for reducing industrial energy consumption in the U.S. Since the majority of waste heat sources have temperatures less than 1000°F, it is especially important that we implement technologies suitable for these temperatures. The old rule of thumb that industrial heat recovery is cost effective only for temperatures of at least 1000°F is not true today with increasing energy prices, technological development by equipment manufacturers and decreasing equipment costs. This factsheet provides a general overview of technologies for recovering heat from moderate temperature sources less than 1000°F.

Heat recovery options can be broadly classified into three strategies:

- Recycling energy back into the process
- Recovering energy for other on-site uses
- Using it to generate electricity in combined heat and power systems

Heat recovery technologies may be also be classified as either passive or active. Passive heat recovery makes use of heat exchangers of various types to transfer heat from a higher temperature source to a lower temperature stream. Passive heat recovery technologies do not require significant mechanical or electrical input for their operation, except for auxiliary equipment such as pumps or fans. Active heat recovery technologies on the other hand require the input of energy to “upgrade” the waste heat to a higher temperature or to electricity. These technologies include industrial heat pumps and combined heat and power systems.

The use of waste heat is largely determined by its temperature, with different types of equipment appropriate over different temperature regimes. Other considerations are the flow rate, its availability over the course of the day and year, and the fouling characteristics of the exhaust. Technologies and strategies to manage corrosive, abrasive, and/or fouling exhausts include material selection, heat exchanger design, automatic washers, soot blowers, acoustic horns, pulse detonation, mechanical surface cleaners, and filtration systems.

## About Clean Heat and Power

This factsheet is one in a series on Clean Heat and Power. Clean Heat and Power refers to clean, efficient local energy generation, including but not limited to combined heat and power, recycled energy, bioenergy, and other generation sources that lead to a demonstrable reduction in global greenhouse gas emissions. For more information refer to:

- The Northwest CHP Technical Assistance Partnerships, <http://northwestchptap.org/>
- The U.S. Clean Heat and Power Association, <http://www.uschpa.org>

## Keypoints

- Waste heat recovery strategies at moderate temperatures less than 1000°F are more cost effective today with increasing energy prices, technological development by equipment manufacturers and decreasing equipment costs.
- In a heat recovery analysis, first consider ways to reduce the quantity of waste heat by improving the energy efficiency. Next consider passive strategies, followed by active strategies.
- The most appropriate technology depends largely on temperature. Technologies by temperature range are:
  - Energy efficiency measures: All temperatures
  - Passive heat recovery: Temperatures greater than about 200°F
  - Industrial closed-cycle mechanical heat pumps: Temperatures less than about 200°F
  - Absorption chillers and heat pumps: Temperatures between about 200°F and 400°F
  - Organic Rankine Cycle CHP: Typically 300°F to 750°F
  - Kalina Cycle CHP: 250°F to 1000°F
- Other considerations are the flow rate, its availability over the course of the day and year, and the fouling characteristics of the exhaust.
- Industrial heat pumps are particularly suited for moist exhaust streams because they can recover both the heat associated with the waste stream's temperature ("sensible heat") and the heat associated with its humidity ("latent heat").

## **Passive Heat Recovery Strategies (For temperatures greater than 200°F)**

An investigation of heat recovery opportunities should first consider ways to reduce the quantity of waste heat that is produced by improving the efficiency of the process. Next consider passive strategies, which often have lower installation costs and generally are simpler to implement and maintain than active heat recovery strategies. Importantly, passive heat recovery strategies often can be used in conjunction with active strategies. For example, if a heat exchanger precedes a heat pump in recovering heat from an exhaust stream, the heat pump can be smaller, and so will consume less energy and require a lower first cost to achieve the same temperature rise. Another example is heat recovery downstream of a CHP turbine.

Consider passive heat recovery strategies for waste heat temperatures greater than about 200°F. Examples of passive heat recovery are:

- Preheating boiler make-up water using a feedwater economizer
- Preheating the supply air into a process such as a food dryer by passing its supply air and exhaust through an air-to-air heat exchanger
- Preheating combustion air
- Using the flue gases from a furnace or dryer to preheat the load entering it
- Using waste heat from a process to meet other in-plant needs such as space heating, water heating, recharging the media in desiccant dehumidification, or providing heat to other lower temperature processes

## **Industrial Closed-Cycle Mechanical Heat Pumps (For temperatures less than 200°F)**

Industrial closed-cycle mechanical heat pumps mechanically compress a refrigerant to heat the supply air or water of a process to a temperature greater than the temperature of the waste heat source (i.e., achieve a temperature lift.) Industrial heat pumps may be used to recover heat from waste streams in a variety of processes such as drying, washing, evaporating, distilling and cooling. Industrial heat pumps can also be used to produce steam and to provide process water heating and cooling. Closed-cycle mechanical heat pumps are suitable for heat recovery from waste heat streams up to about 200°F, depending on the working fluid of the heat pump<sup>1</sup>. They are particularly suited for moist exhaust streams because they can recover both the heat associated with the waste stream's temperature ("sensible heat") and the heat associated with its humidity ("latent heat"). Closed-cycle mechanical heat pumps can achieve a very high coefficient of performance (COP) when the temperature lift is small. In general, heat pumps are most cost effective where they serve simultaneous heating and cooling requirements.

---

<sup>1</sup> The minimum temperature of the heat source also varies depending on the heat pump's working fluid and can be less than ambient. For example, a heat pump used to serve simultaneous heating and cooling requirements transfers heat from a source at a temperature less than ambient and uses it to meet process or space heating requirements.

## **Absorption Technology (For temperatures between 200°F and 400°F)**

Absorption technology uses thermal energy rather than mechanical shaft energy for its operation. Absorption units are referred to as absorption chillers when used to provide air conditioning or refrigeration using waste heat as an energy source. The same technology is referred to as Type-I absorption heat pumps when used for heating. Absorption chillers/heaters are designed to switch between heating and cooling modes.

Absorption chillers and Type I heat pumps are most economical when using a waste heat source ranging from approximately about 200°F to 400°F, especially if there is a need for simultaneous heating and cooling. The waste heat source can be low pressure steam or a hot gaseous or liquid stream. The operating temperatures of absorption units vary depending on the working fluid. Lithium bromide-based chillers can cool down to about 40°F, while ammonia-based chillers can cool down to about 10°F. Type I heat pumps can have output temperatures up to about 200°F and achieve a maximum temperature lift up to about 120°F.

Another less common type of absorption technology is the Type-II absorption heat pump, also known as a heat transformer. Heat transformers take waste heat at an intermediate temperature that is too low to be useable and upgrade a portion of it to a higher useable temperature. A portion of the heat must also be rejected to a lower temperature heat sink. Up to about half the heat of the waste heat source can be upgraded. Heat transformers can have output temperatures up to about 300°F. Lithium bromide based units can achieve temperature lifts of 45°F to 90°F from waste heat sources at temperatures of 175°F to 200°F.

Absorption technology is experiencing resurgence with the growing interest in waste heat recovery. U.S. manufacturers have introduced expanded lines of absorption chillers and chiller/heaters ranging from 3 tons to more than 1,500 tons.

## **Moderate Temperature Combined Heat and Power (For temperatures between 200°F and 1000°F)**

Technologies for generating electricity from moderate temperature heat sources include Organic Rankine Cycle (ORC) systems and Kalina cycle systems. With all combined heat and power (CHP) technologies, the capacity and cost effectiveness of the system will generally increase with source temperature. CHP systems for moderate temperatures have greater installation costs than reciprocating engines of the same size, but the greater installed cost may be offset by lower maintenance costs and the zero fuel costs of waste heat recovery.

ORC systems can be compared to conventional steam turbine systems, with the primary difference being that its working fluid is a refrigerant instead of water. The operating temperatures of ORCs vary depending on the manufacturers' design but typically range

between 300°F and about 750°F. At least three manufacturers – Infinity, Pratt & Whitney (owned by UTC), and ElectraTherm – build ORC systems that can operate with waste heat temperatures less than 200°F. Typically, installed costs will range from \$2,000 to \$4,000 per kilowatt and can be as low as about \$1,300 per kilowatt for the “HVAC-derivative” units now appearing on the market. ORCs have been used in geothermal applications and as the bottoming cycle for steam power plants for over 40 years. Industrial waste heat recovery systems using ORC turbines at cement kilns and compressor stations have been operating since 1999.

The Kalina cycle technology, distributed by Raser Technologies in the U.S., is practical over a wider temperature range – 250°F to 1000°F – compared to the ORC and is 20% to 40% more efficient than either an ORC or a steam turbine for temperature sources less than 1000°F. Installed costs typically range from \$2,000 to \$3,000 per kilowatt. Like the ORC, the Kalina cycle has been used at geothermal plants and as the bottoming cycle of gas turbine and steam power plants. Kalina cycles using industrial waste heat as an energy source have been operating trouble-free since 1999 at a steel plant, and since 2005 at a hydrocarbon plant.

## **Manufacturers**

Manufacturers of technologies discussed are listed below. Some manufacturers may have been inadvertently omitted and investigation of possible others is encouraged. Inclusion of a manufacturer on this list does not constitute or imply its endorsement, recommendation, or favoring by the CHP Application Center or its cooperating agencies.

### ***Closed-Cycle Mechanical Heat Pumps and Absorption Technology***

U.S. manufacturers of industrial closed-cycle mechanical heat pumps include Nyle Corporation and Colmac Coil Manufacturing. International manufacturers include Sanyo (Japanese) and FrioTherm (Sweden).

U.S. manufacturers of industrial absorption technology include Broad Air Conditioning, Carrier Corporation, Cention Corporation, Energen, Energy Concepts, McQuay International, Robur, Trane, Thermax, Inc., Yazaki Energy Systems, Inc., and York International. International manufacturers and suppliers include Institute for Energy Technology (Norway) and Rinheat-Ahlstrom (Finland).

### ***Organic Rankine Cycle Turbine Systems***

Manufacturers of ORC systems available in the U.S. include Infinity Turbine, Ormat, Pratt & Whitney (owned by UTC), ElectraTherm, Cryostar, and Barber-Nichols. ORCs manufactured by Italy’s Turboden are now available in the U.S. through GPM, Inc. Other European manufacturers include Tri-O-Gen, Adoratec, Freepower and GMK.

### ***Kalina Cycle Turbine Systems***

The Kalina cycle technology is distributed by Raser Technologies in the U.S.

## References

*Industrial Heat Pumps for Steam and Fuel Savings*, U.S. Department of Energy's Office of Energy Efficiency and Renewable Energy, Industrial Technologies Program, June 2003,

<http://www.eere.energy.gov/industry/bestpractices/pdfs/heatpump.pdf>

*Process Heating Assessment and Survey Tool (PHAST) Version 2.0* introduces methods to improve thermal efficiency of heating equipment.

<http://www.eere.energy.gov/industry/bestpractices/software.html#phast>

*Improving Process Heating System Performance: A Sourcebook for Industry*, U.S. Department of Energy's Office of Energy Efficiency and Renewable Energy, Industrial Technologies Program, Revised February 2008,

[http://www.eere.energy.gov/industry/bestpractices/pdfs/process\\_heating\\_sourcebook2.pdf](http://www.eere.energy.gov/industry/bestpractices/pdfs/process_heating_sourcebook2.pdf)

"Waste Heat to Power: Economic Tradeoffs and Considerations," PowerPoint presentation by Dr. Arvind C. Thekdi, E3M, Inc., presented at *Third Annual Waste Heat to Power Workshop 2007*, September 25, 2007, Houston, TX,

<http://northwestchptap.org/NwChpDocs/Thekdi%20presentation.pdf>

*Combined Heat and Power: Capturing Wasted Energy*, R. Neal Elliott and Mark Spurr, American Council for an Energy-Efficient Economy, Report Number IE983, May 1999,

<http://www.aceee.org/pubs/ie983.htm>

"Industrial Heat Recovery Strategies and Options: Getting Full Value for Your Energy Dollar," Energy Solutions Center,

[http://www.energysolutionscenter.org/resources/PDFs/GT-S04\\_industrial\\_heat\\_recovery.pdf](http://www.energysolutionscenter.org/resources/PDFs/GT-S04_industrial_heat_recovery.pdf)

*Industrial Heat-Recovery Strategies: An In-Depth Examination of an Energy Efficiency Technology*, Pacific Gas & Electric Company, 1997,

<http://www.pge.com/includes/docs/pdfs/about/edusafety/training/pec/inforesource/heatreco.pdf>

"Industrial Heat Pumps," *Power Quality & Utilisation Guide, Section 7: Energy Efficiency*, Leonardo Energy, February 2007, [http://www.leonardo-energy.org/webfm\\_send/180](http://www.leonardo-energy.org/webfm_send/180)

*A Survey of Gas-Side Fouling in Industrial Heat-Transfer Equipment: Final Report*, W. J. Marner and J. W. Sutor, Jet Propulsion Lab, California Institute of Technology, prepared for the U.S. Department of Energy, 1983,

[http://www.moderneq.com/documents/whitepapers/NASA\\_Recuperation\\_study.pdf](http://www.moderneq.com/documents/whitepapers/NASA_Recuperation_study.pdf)

“SCR Catalyst Cleaning: Sootblowers vs. Acoustic Horns,” *Power Engineering*, May 2003, [http://pepei.pennnet.com/display\\_article/176817/6/ARTCL/none/none/1/SCR-Catalyst-Cleaning:Sootblowers-vs-Acoustic-Horns/](http://pepei.pennnet.com/display_article/176817/6/ARTCL/none/none/1/SCR-Catalyst-Cleaning:Sootblowers-vs-Acoustic-Horns/)

“Harness detonation waves to clean boiler tubes,” Kirk Lupkes and A. Tofa McCormick, *Power*, October 2007, <http://www.powermag-digital.com/powermag/200710/?pg=75>

“Waste Heat Recovery 101,” U.S. Department of Energy, Industrial Technologies Program, *Process Heating*, March 2009, [http://www.process-heating.com/Articles/Feature\\_Article/BNP\\_GUID\\_9-5-2006\\_A\\_1000000000000537841](http://www.process-heating.com/Articles/Feature_Article/BNP_GUID_9-5-2006_A_1000000000000537841)

*Use Feedwater Economizers for Waste Heat Recovery*, Steam Tip Sheet #3, U.S. Department of Energy’s Office of Energy Efficiency and Renewable Energy, Industrial Technologies Program, January 2006, [http://www.eere.energy.gov/industry/bestpractices/pdfs/steam3\\_recovery.pdf](http://www.eere.energy.gov/industry/bestpractices/pdfs/steam3_recovery.pdf)

*Preheated Combustion Air*, Process Heating Tip Sheet #1, U.S. Department of Energy’s Office of Energy Efficiency and Renewable Energy, Industrial Technologies Program, November 2007, [http://www.eere.energy.gov/industry/bestpractices/pdfs/et\\_preheated.pdf](http://www.eere.energy.gov/industry/bestpractices/pdfs/et_preheated.pdf)

*Load Preheating Using Flue Gases from a Fuel-Fired Heating System*, Process Heating Tip Sheet #9, U.S. Department of Energy’s Office of Energy Efficiency and Renewable Energy, Industrial Technologies Program, January 2006, <http://www.eere.energy.gov/industry/bestpractices/pdfs/38852.pdf>

“Technology Spotlight: Industrial Heat Pumps,” *Energy Services Bulletin*, Western Area Power Administration, April 2009, <http://www.wapa.gov/ES/pubs/esb/2009/apr/apr094.htm>

“Technology Spotlight: Consider absorption technology for waste heat recovery,” *Energy Services Bulletin*, Western Area Power Administration, October 2007, <http://www.wapa.gov/es/pubs/esb/2007/oct/oct074.htm>

*Use Low-Grade Waste Steam to Power Absorption Chillers*, Steam Tip Sheet #14, U.S. Department of Energy’s Office of Energy Efficiency and Renewable Energy, Industrial Technologies Program, January 2006, [http://www.eere.energy.gov/industry/bestpractices/pdfs/steam14\\_chillers.pdf](http://www.eere.energy.gov/industry/bestpractices/pdfs/steam14_chillers.pdf)

“Technology Spotlight: Organic Rankine Cycle harnesses moderate waste heat for combined heat and power,” *Energy Services Bulletin*, Western Area Power Administration, August 2009, <http://www.wapa.gov/es/pubs/esb/2009/aug/aug094.htm>

“Technological and Economical Survey of Organic Rankine Cycle Systems,” Sylvain Quoilin and Vincent Lemort, Thermodynamics Laboratory, University of Liège,

Belgium, 2009,

[http://www.labohtap.ulg.ac.be/cmsms/uploads/File/ECEMEI\\_PaperULg\\_SQVL090407.pdf](http://www.labohtap.ulg.ac.be/cmsms/uploads/File/ECEMEI_PaperULg_SQVL090407.pdf)

“Kalina Cycle Enjoying Commercial Success,” *Power Engineering*, February 2002, [http://pepei.pennnet.com/display\\_article/137036/6/ARTCL/none/none/1/Kalina-Cycle-Enjoying-Commercial-Success/](http://pepei.pennnet.com/display_article/137036/6/ARTCL/none/none/1/Kalina-Cycle-Enjoying-Commercial-Success/)

“Power Production from a Moderate-Temperature Geothermal Resource,”  
Joost J. Brasz, Bruce P. Biederman and Gwen Holdmann, Geothermal Resources Council Annual Meeting, September 25-28, 2005, Reno, NV,  
<http://www.yourownpower.com/Power/grc%20paper.pdf>

“Organic Rankine Cycle Power Plant for Waste Heat Recovery,” Lucien Y. Bronicki, Chairman, ORMAT International, Inc.,  
<http://www.ormat.com/FileServer/e008778b83b2bdbf3a8033b23928b234.pdf>

“Low Grade Heat Recovery,” Hilel Legmann and David Otrin, *World Cement*, April 2004, <http://www.ormat.com/FileServer/4a757beb915aaeaf58c32dce809857f.pdf>

Raser Technologies, [www.rasertech.com](http://www.rasertech.com)

“Geothermal Turnkey Power Generation Solutions by Siemens,” PowerPoint presentation, September 2006, [http://engine.brgm.fr/web-offlines/conference-Electricity\\_generation\\_from\\_Enhanced\\_Geothermal\\_Systems\\_-\\_Strasbourg,\\_France,\\_Workshop5/other\\_contributions/49-slides-0-4\\_Siemens.pdf](http://engine.brgm.fr/web-offlines/conference-Electricity_generation_from_Enhanced_Geothermal_Systems_-_Strasbourg,_France,_Workshop5/other_contributions/49-slides-0-4_Siemens.pdf)

“Theoretical/Best Practice Energy Use In Metalcasting Operations,” J. F. Schifo and J.T. Radia, KERAMIDA Environmental, Inc. Indianapolis, IN, May 2004  
[http://www.eere.energy.gov/industry/metalcasting/pdfs/doebestpractice\\_052804.pdf](http://www.eere.energy.gov/industry/metalcasting/pdfs/doebestpractice_052804.pdf)

American Society of Heating Refrigeration and Air Conditioning Engineers (ASHRAE) Handbook of Refrigeration Systems and Applications, Chapter 41 “Absorption Cooling, Heating and Refrigeration Equipment”, 2006

“Testing and Operating Experience of the 2 MW Kalina Cycle Geothermal Power Plant in Húsavík, Iceland”, Mirolli, M., H. Hjartarson, H. A. Mlcak, M. Ralph., *Power Plant: Operational, Maintenance, and Materials Issues* (Vol. 1, Issue 2) August 2002,  
<http://www.ommi.co.uk/PDF/Articles/54.pdf>